

Practitioner's Docket No. 201-0171

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hemant S. Shah

Application No.: 10/063,151

Group No.: 2837

Filed: March 26, 2002

Examiner: Patrick L. Miller

For: Fan Shroud with Built-In Noise Reduction

Assistant Commissioner for Patents

Washington, D.C. 20231

**AMENDMENT, PETITION AND FEE TO DELETE AND/OR  
ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED  
INVENTOR(S) IN—NONPROVISIONAL APPLICATION—DECLARATION  
(37 C.F.R. § 1.48(a))**

NOTE: "If the inventive entity is set forth in error in an executed § 1.63 oath or declaration in an application, other than a reissue application, and such error arose without any deceptive intention of the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the application may be amended to name only the actual inventor or inventors." 37 C.F.R. § 1.48(a)

NOTE: 37 C.F.R. § 1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under § 1.53(b) without an executed oath or declaration under § 1.63, the later submission of an executed oath or declaration under § 1.63 during the pendency of the application will act to correct the earlier identification of inventorship.

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under § 1.51(c)(1), the later submission of a cover sheet under § 1.51(c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.48(a) as set forth and filed on 03/26/02 (date).
2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

☒ Add the following previously unnamed person(s) as inventor(s) of this application:Mukesh Kumar**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**

☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 12-18-03**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Kathy Dixon

(type or print name of person certifying)

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named or Not Named Inventor(s) in Declaration (37 C.F.R. § 1.48(a) [9-22]—page 1 of 2)

☐ Delete the following previously incorrectly named inventor(s)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Attachments

Attached is

(a) A statement from: *(check items below that apply)*

☐ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(1).

(b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 (or as permitted by §§ 1.42, 1.43, OR 1.47). 37 C.F.R. § 1.48(a)(2).

(c) written assent of the assignee *(if any of the original inventors executed an assignment)* 37 C.F.R. 1.48(a)(4)

(d) *(check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)*

☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. § 1.17(i)–\$130.00)

The fee required is paid as follows:

☐ Attached is a ☐ check ☐ money order in the amount of \$ \_\_\_\_\_

☒ Authorization is hereby made to charge the amount of \$ 130.00

☒ to Deposit Account No. 06-1510

☐ to Credit card as shown on the attached credit card information authorization form PTO-2038.

WARNING: Credit card information should not be included on this form as it may become public.

☒ Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this paper is attached.

SIGNATURE OF PRACTITIONER

Randy W. Tung

*(type or print name of practitioner)*

Reg. No.: 31,311

Tel. No.: ( 248 ) 540-4040

838 W. Long Lake, Suite 120

P.O. Address

Bloomfield Hills, MI 48302

Customer No.:

(Amendment, Petition and Fee to Delete and/or Add to Original Erroneously Named Inventor(s) in Declaration  
(37 C.F.R. § 1.48(a) [9-22]—page 2 of 2)



DEC 22 2003

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Ford Motor Company  
Application No./Patent No.: 10/063,151 Filed/Issue Date: 03/26/2002  
Entitled: Fan Shroud with Built-In Noise Reduction  
Ford Motor Company, a corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 012519, Frame 0386, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.  
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

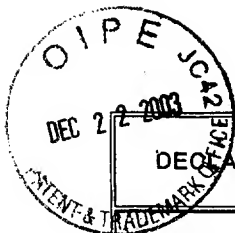
☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

12-18-03  
Date

Randy W. Tung  
Typed or printed name  
[Signature]  
Signature  
Associate Attorney  
Title



## DECLARATION AND POWER OF ATTORNEY - ORIGINAL APPLICATION

Attorney's Docket No.  
201-0171

As a below named inventor, I hereby declare:

My residence, post office address and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled

**FAN SHROUD WITH BUILT IN NOISE REDUCTION**

the specification of which is attached hereto.

I have reviewed and understand the contents of the specification identified above, including the claims.

I acknowledge my duty to disclose information of which I am aware that is material to the examination of this application in accordance with Section 1.56(a), Title 37 of the Code of Federal Regulations; and as to application for patents or inventor's certificate on the invention filed in any country foreign to the United States of America, prior to this application by me or my legal representatives or assigns,

☒ [ x ] no such applications have been filed, or☐ [ ] such applications have been filed as follows:☐ [ ] I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below

COUNTRY	APPLICATION NO.	DATE OF FILING (month, day, year)	DATE OF ISSUE (month, day, year)	PRIORITY CLAIMED UNDER 35 USC 119	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

**POWER OF ATTORNEY:** - I/we hereby appoint the following Practitioners: Customer No. 29769, John M. Naber - 46,487 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office and all foreign Patent Offices.

COPY

Address all correspondence and telephone calls to:

John M. Naber  
Foster, Swift, Collins & Smith, P.C.  
313 South Washington Square  
Lansing, MI 48933-2193 Phone: 517-371-8100

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

NAME AND MAILING ADDRESS OF INVENTOR:	RESIDENCE	CITIZENSHIP	SIGNATURE	DATE
Hemant S. Shah 20058 Riverside Drive Livonia, MI 48152 US	Livonia, MI 48152 US	U.S.A	<i>Hemant Shah</i>	3/14/02
John Stuart Hollingshead 4941 Heather Drive, Apt 111 Dearborn, MI 48126 US	Dearborn, MI 48126 US	U.S.A		
John Wang 3342 Honeysuckle Drive Ann Arbor, MI 48103 US	Ann Arbor, MI 48103 US	U.S.A		
Prakash Tuljaram Thawani 4578 Walden Drive Bloomfield Hills, MI 48301 US	Bloomfield Hills, MI 48301 US	U.S.A	<i>Prakash Thawani</i>	3/14/02
Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US	Plymouth, MI 48170 US	U.S.A		

TO: STACY Baker-Cole  
Ext. 27162

From. PRAKASH THAWANI

COPY

Attorney Docket No. 201-0171 Please call 313-322-7725 if this paper becomes separated from the file.

**Address all correspondence and telephone calls to:**

John M. Naber  
Foster, Swift, Collins & Smith, P.C.  
313 South Washington Square  
Lansing, MI 48933-2193 Phone: 517-371-8100

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John Stuart Hollingshead 4941 Heather Drive, Apt 111 Dearborn, MI 48126 US	Dearborn, MI 48126 US	U.S.A	<i>John Hollingshead</i>	3/13/02
John Wang 3342 Honeysuckle Drive Ann Arbor, MI 48103 US	Ann Arbor, MI 48103 US	U.S.A		
Prakash Tuljaram Thawani 4578 Walden Drive Bloomfield Hills, MI 48301 US	Bloomfield Hills, MI 48301 US	U.S.A		
Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US	Plymouth, MI 48170 US	U.S.A		

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Prakash Tuljaram Thawani 4578 Walden Drive Bloomfield Hills, MI 48301 US	Bloomfield Hills, MI 48301 US	U.S.A		
Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US	Plymouth, MI 48170 US	U.S.A		

COPY

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P4/2

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Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US	Plymouth, MI 48170 US	U.S.A	<i>Richard Charles Kosik</i>	3-12-02

COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNMENT

WHEREAS, THE UNDERSIGNED,

has/have invented certain new and useful improvements in:

Attorney Docket No. 201-0171 entitled: **FAN SHROUD WITH BUILT IN NOISE REDUCTION**

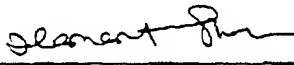

for which I/we intend to make application for Letters Patent of the United States listing the following as inventors:

**Hemant Shah      John Hollingshead      John Wang      Prakash Thawani      Richard Kosik; and**

WHEREAS, FORD MOTOR COMPANY, a corporation organized and existing by virtue of the laws of the State of Delaware, having its principal place of business at Dearborn, County of Wayne, and State of Michigan, is desirous of acquiring an interest therein;

NOW, THEREFORE, pursuant to my employment agreement heretofore made with FORD MOTOR COMPANY, or by my contractual obligation, I do sell, assign and transfer unto FORD MOTOR COMPANY the full and exclusive right for the United States of America and for all foreign countries to the said invention, as described in the specification executed by me on the date listed below, preparatory to obtaining Letters Patent of the United States therefor (and I hereby authorize my attorneys to insert the serial number and filing date herein:

Serial No. \_\_\_\_\_, Filing Date \_\_\_\_\_), and I hereby request the Commissioner of Patents and Trademarks to issue said Letters Patent to FORD MOTOR COMPANY as the assignee. Executed by:

NAME	SIGNATURE	DATE
Hemant S. Shah 20058 Riverside Drive Livonia, MI 48152 US		24-02
John Stuart Hollingshead 4941 Heather Drive, Apt 111 Dearborn, MI 48126 US		
John Wang 3342 Honeysuckle Drive Ann Arbor, MI 48103 US		
Prakash Tuljaram Thawani 4578 Walden Drive Bloomfield Hills, MI 48301 US		3/14/02
Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US		

Hanze

Attorney Docket No: 201-0171      Please call 313-322-7762 if this paper becomes separated from the file.

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**ASSIGNMENT**

WHEREAS, THE UNDERSIGNED,

has/have invented certain new and useful improvements in:

Attorney Docket No. 201-0171 entitled: **FAN SHROUD WITH BUILT IN NOISE REDUCTION**


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Prakash Tuljaram Thawani 4578 Walden Drive Bloomfield Hills, MI 48301 US	_____	_____
Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US	_____	_____

[ ]  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****ASSIGNMENT**

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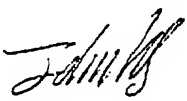
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Executed by:

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Prakash Tuljaram Thawani 4578 Walden Drive Bloomfield Hills, MI 48301 US	_____	_____
Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US	_____	_____

Hanze

Attorney Docket No: 201-0171

Please call 313-322-7762 if this paper becomes separated from the file.

**COPY**

For to:

Stacy Baker-Cole

x 27162

p1/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Prakash Tuljaram Thawani 4578 Walden Drive Bloomfield Hills, MI 48301 US	_____	_____
Richard Charles Kosik 47615 Katherine Court Plymouth, MI 48170 US	<i>Richard Charles Kosik</i>	<u>3-12-02</u>

COPY

APPLICATION IS NOT OWNED BY FGTI

COPY

Practitioner's Docket No. 201-0171**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Hemant S. Shah

Application No.: 10/063,151

Group No.: 2837

Filed: March 26, 2002

Examiner: Patrick L. Miller

For: Fan Shroud with Built-In Noise Reduction

Assistant Commissioner for Patents  
Washington, D.C. 20231**ASSENT OF ASSIGNEE TO CORRECTION  
AND/OR ADDITION OF INVENTOR(S)**

Ford Motor Company

(type or print name of assignee)

Dearborn, MI 48121

Address

**Assignment**
☒ recorded on 03/26/2002  
 Reel 012519  
 Frame 0386
☐ recorded herewith☐ A separate ☐ "ASSIGNMENT" (DOCUMENT) COVER SHEET is attached.

or

☐ FORM PTO 1595 is attached.

Assignee hereby assents to the correction of inventorship filed

☐ herewith.☒ on 03/26/2002**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**
☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
**FACSIMILE**
☐ transmitted by facsimile to the Patent and Trademark Office.
Date: 12-18-03

Signature

Kathy Dixon

(type or print name of person certifying)

**ASSIGNEE STATEMENT**

A "STATEMENT UNDER 37 C.F.R. § 3.73(b)" is attached.

A handwritten signature in black ink, appearing to read 'Randy W. Tung', is written over a horizontal line.

Signature

Randy W. Tung

*(type or print name and title of person authorized to sign  
on behalf of assignee)*

(Assent of Assignee to Correction and/or Addition of Inventor(s) [9-23]—page 2 of 2)



DEC 31 2003  
TC 1700

Verified Statement of Facts

We, Hemant S. Shah, John Stuart Hollingshead, John Wang, Prakash Tuljaram Thawani and Richard Charles Kosik, declare that we are the original named inventors for U.S. Patent Application Serial No. 10/063,151 (Attorney Docket No. 201-0171) entitled "Fan Shroud With Built In Noise Reduction".

The name of our co-inventor Mukesh Kumar was not included in the application filed on March 26, 2002, because his name was inadvertently left out of the original Invention Disclosure form used internally at Ford Motor Company. We also state that the inventorship error occurred without deceptive intent on our part.



Hemant S. Shah

12-9-03

Date



John Stuart Hollingshead

12-10-03

Date



John Wang

12/9/03

Date



Prakash Tuljaram Thawani

12/9/03

Date



Richard Charles Kosik

12-9-03

Date



## DECLARATION AND POWER OF ATTORNEY - ORIGINAL APPLICATION

Attorney's Docket No.  
81047442 (201-0171)

As a below named inventor, I hereby declare:

My residence, post office address and citizenship are as stated below next to my name;

I verily believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled

**FAN SHROUD WITH BUILT IN NOISE REDUCTION**

the specification of which is attached hereto.

I have reviewed and understand the contents of the specification identified above, including the claims.

I acknowledge my duty to disclose information of which I am aware that is material to the examination of this application in accordance with Section 1.56(a), Title 37 of the Code of Federal Regulations; and as to application for patents or inventor's certificate on the invention filed in any country foreign to the United States of America, prior to this application by me or my legal representatives or assigns,

☐ no such applications have been filed, or☒ such applications have been filed as follows:☐ I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below

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U.S.A.	10/063,151	03/26/2002			

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

**POWER OF ATTORNEY:** - I/we hereby appoint the following Practitioners at: Customer Number 32997, as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office and all foreign Patent Offices.

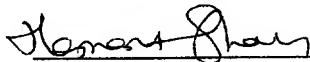
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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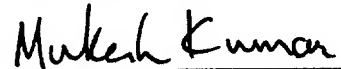
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